



DT10 Rec'd PCT/PTO 28 OCT 2002

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PTO/SB/21 (12-97)  
Approved for use through 9/30/00 OMB 0651-0031  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
valid OMB control number**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application</b>	10/089.412	
	<b>Filing Date</b>	March 28, 2002/IA:9/28/00	
	<b>First Named</b>	Jurgen Bruck	
	<b>Group Art Unit</b>		
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	19	<b>Attorney Docket Number</b>	KSN0029

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached Amendment / Response After Final Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) Drawing(s) Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition To Convert a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Small Entity Statement Request for Refund Remarks	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Supplemental Preliminary Amendment Return Postcard
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Eric J. Groen, Reg. No. 32,230 Baker & Daniels
Signature	
Date	October 21, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on this date			10/21/02
Typed or printed name	Eric J. Groen		
Signature		Date	October 21, 2002

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PTO/SB/17 (11-01)  
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# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision*

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

**\$570.00**

**Complete if Known**

Application Number	10/089,412
Filing Date	March 28, 2002/1A: 9/28/00
First Named Inventor	Jurgen Bruck
Examiner Name	
Group Art Unit	
Attorney Docket No.	KSN0029

**METHOD OF PAYMENT** *(check all that apply)*☒ Check ☐ Credit card ☐ Money order ☐ Other ☐ None☒ Deposit Account:

Deposit  
Account  
Number

02-0387

Deposit  
Account  
Name

**Baker & Daniels**

**The Commissioner is authorized to:** (check all that apply)

☒ Charge fee(s) indicated below      ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, **except for the filing fee**  
to the above identified deposit account

### FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity
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Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

## 2. EXTRA CLAIM FEES FOR UTILITY AND

	Extra Claims		Fee from below	Fee Paid
Total Claims	<input type="text"/> - 20** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Independent Claims	<input type="text"/> - 3** = <input type="text"/>	X	<input type="text"/>	= <input type="text"/>
Multiple Dependent			<input type="text"/>	= <input type="text"/>

Large Entity	Small Entity
<p>1. <b>Revenue</b></p> <p>2. <b>Expenses</b></p> <p>3. <b>Assets</b></p> <p>4. <b>Liabilities</b></p> <p>5. <b>Equity</b></p>	<p>1. <b>Revenue</b></p> <p>2. <b>Expenses</b></p> <p>3. <b>Assets</b></p> <p>4. <b>Liabilities</b></p> <p>5. <b>Equity</b></p>

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

**\$0.00**

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non - English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400.00
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR § 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

Other fee (specify)

SUBTOTAL (3)

**\$570.00**

## SUBMITTED BY

Name (Print/Type)	Eric J. Groen
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Registration No.  
(Attorney/Agent)

32.230

Telephone

(574)234-4149

Signature \_\_\_\_\_

Date \_\_\_\_\_

**October 21, 2002**

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